

## AUDIT AND RISK COMMITTEE

19 July 2016

### RISK MANAGEMENT UPDATE

#### Report of the Director for Resources

Strategic Aim:	All	
Exempt Information	No	
Cabinet Member(s) Responsible:	Councillor Mr O Hemsley, Portfolio Holder for Resources (excluding Finance), Culture, Sport & Recreation, Tourism and Housing	
Contact Officer(s):	Debbie Mogg, Director for Resources	01572 758358 dmogg@rutland.gov.uk
Ward Councillors	N/A	

#### DECISION RECOMMENDATIONS

1. That the Committee notes the contents of the risk register and the actions underway to address the risks.

#### 1 PURPOSE OF THE REPORT

- 1.1 To present the Strategic Risk Register to the Committee and provide assurance that strategic risks are being adequately managed.

#### 2 STRATEGIC RISK REGISTER

- 2.1 Attached at **Appendix B** is the Council's Strategic Risk Register as at July 2016.
- 2.2 Additional information is provided below from the Director for People in response to questions raised at the previous meeting:

- Risks 4 - A number of actions are in place to mitigate risk 4, which are listed in the corporate risk plan. In relation to 'cases not being know', please see the action relating to the duty front door within children's services, where the focus has been to ensure that the referral process is simple and easily accessible – this is in line with sub-regional safeguarding procedures agreed through the Local Safeguarding Children's Board. Whilst actions are in place, it is unlikely that this risk will be entirely eradicated due to the nature of the risks concerned.
- Risk 5 – A range of actions have been taken to ensure that, wherever possible, the authority is sighted at the earliest opportunity to safeguarding risk to vulnerable adults in the community. Performance in reporting in this

area has improved and good performance is being maintained. Actions included the creation of a prevention and safeguarding team, changes to the access, delivering care act requirements around 'making safeguarding personal', the introductions of community agents and raising the profile through posters, leaflets and social media (see attached **Appendix B**)

2.3 Clearly the national political environment in which the Council operates has changed as a result of the UK's decision to exit the European Union (commonly referred to as Brexit). Some of the risks within the register have been amended in light of this but the intention is to add an additional risk once more is understood about the potential implications for Local Government.

2.4 The summary of the risks plotted on the risk matrix has been revised and is shown at **Appendix C**. This highlights how the risks are spread across the matrix. No risk scores have been amended since the previous update.

### **3 CONSULTATION**

3.1 No consultation is necessary; the purpose of this report is to present the risk register to the Committee.

### **4 FINANCIAL IMPLICATIONS**

4.1 There are no direct financial implications arising from this report but the Committee should note that failure to manage risks effectively can have a financial impact on the Council.

### **5 LEGAL AND GOVERNANCE CONSIDERATIONS**

5.1 As set out in its terms of reference within the constitution, this committee has responsibility to provide assurance of the adequacy of the risk management framework and control environment.

5.2 There are no legal implications arising from this report.

### **6 EQUALITY IMPACT ASSESSMENT**

6.1 An Equality Impact Assessment (EqIA) has not been completed because there are no service, policy or organisational changes being proposed.

### **7 COMMUNITY SAFETY IMPLICATIONS**

7.1 There are no community safety implications

### **8 HEALTH AND WELLBEING IMPLICATIONS**

8.1 There are no health and wellbeing implications

### **9 CONCLUSION AND SUMMARY OF REASONS FOR THE RECOMMENDATIONS**

9.1 The Committee's role is to monitor the effective development and operation of risk management and corporate governance. The risk register sets out the strategic risks facing the Council and demonstrates how they are being managed.

## **10 BACKGROUND PAPERS**

10.1 There are no additional background papers

## **11 APPENDICES**

Appendix A: Strategic Risk Register

Appendix B: Safeguarding Poster

Appendix C: Risk Matrix

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.